The Basel Ban: A Triumph for Global Environmental Justice

The Impeccable Logic of Toxic Trade

"I think the economic logic behind dumping a load of toxic waste in the lowest wage country is impeccable and we should face up to the fact that ... under populated countries in Africa are vastly under-polluted."

In 1991, this remarkable statement was found in an internal memo of then World Bank Chief Economist Lawrence Summers, and was leaked to the world press. His words resulted in a global outcry.

Then Environment Minister of Brazil, Jose Lutzenberger found words for the collective outrage in his written rebuke to the Bank and Mr. Summers: "Your reasoning is perfectly logical but totally insane...your thoughts [provide] a concrete example of the unbelievable alienation, reductionist thinking, social ruthlessness and the arrogant ignorance of many conventional ‘economists’ concerning the nature of the world we live in."

Mr. Summers’ words were shocking for one simple, awful reason -- they were true. His words spoke volumes about the imperatives of free market economics and its failure as an absolute model for governance over our lives. The economic logic of the export of hazardous wastes from the rich industrialized countries of the North to the poorer less-industrialized countries of the South had already become horribly real even before Mr. Summers wrote his memo.

Beginning in the mid-1980s, headlines began appearing announcing the discoveries of barrels of mixed industrial poisons dumped on tropical beaches, and of vessels laden with toxic trash plying the coastlines of developing countries searching for a port-of-call. These first “ships of death” were highly publicized harbingers of an extremely profitable trade that threatened to become epidemic.

1989 Basel Convention: Legalizing Toxic Trade

In June of 1987, following the intense outrage expressed by developing countries, the United Nations began negotiations to prepare a global convention on transboundary movements of hazardous waste. This led, in March 1989, to 118 nations signing the Basel Convention on the Control of Transboundary Movements of Hazardous Waste and their Disposal. To date, over 170 countries have now ratified the treaty.

Unfortunately, whereas the vast majority of nations wanted to ban waste trafficking, particularly from developed to developing countries, certain heavily industrialized countries, most notably the United States, fought to reject any such prohibition. Thus, the original 1989 treaty became primarily an instrument to monitor the transboundary movements of hazardous wastes rather than actually fulfill its stated goal -- to reduce or prevent them.

Immediately following the signing, Greenpeace denounced the Convention as providing license to an activity, which should have been considered criminal. Many developing countries refused to sign or ratify it. A very disappointed African group walked out claiming that they would not sign and would instead initiate their own treaty banning waste imports to Africa.

A Ban is Born: The Global Community Prevails

Rather than giving up, developing countries and non-governmental organizations (NGOs) began instead to forge regional and national bans in lieu of a global one. These efforts rapidly bore fruit so that by the time the Basel Convention entered into force in 1992, over 88 countries had banned the import of hazardous wastes through national or regional laws or agreements. This tidal wave of legislative activity persuaded progressive European countries such as Switzerland, Norway, Sweden and Denmark to join developing and Eastern European countries in an even stronger push for a global ban. These governments and NGOs were successful in progressively transforming the Basel Convention with the following Conference of Parties (COP) decisions:

- COP I (December 1992): Decision I/22 requested developing countries to prohibit the import of hazardous wastes from industrialized countries.
- COP II (March 1994): Decision II/12 banned export of all hazardous wastes from OECD to non-OECD countries including for recycling as of 1 January 1998.
- COP III (September 1995): Decision III/1 adopted the OECD (and Liechtenstein) export ban as an amendment.
- COP IV (February 1998): Decision IV/8 agreed to leave the Ban Amendment unchanged until its entry into force. Decision IV/7 appealed to all Parties to ratify the Amendment as soon as possible.
- COP V (December 1999): Decision V/3 appealed to Parties to ratify the Amendment as soon as possible.
- COP VI (December 2002): Decision VI/33 strongly appealed for ratification as soon as possible.
- COP VII (October 2004): Decision VII/23 noted the progress of the Ban and strongly appealed for its immediate ratification.
• COP IX (June 2008): President (Indonesia) makes a statement calling “upon all Parties to the Convention to expedite ratification of the Ban Amendment, so as to facilitate its entry into force”

The Reason for the Basel Ban

The Basel Ban was justified by the Basel Parties on the basis “that transboundary movement of hazardous wastes from OECD to non-OECD countries have a high risk of not constituting an environmentally sound management of hazardous wastes as required by the Basel Convention.” This determination was not only due to the obvious lack of adequate technical capacity (downstream ESM) in developing countries, including the legal and infrastructural framework, safety nets, and enforcement in a country, but more importantly for the reason that export of pollution to avoid costs at home (cost externalization) works at cross purposes to the primary (upstream ESM) goals of the Basel Convention: a) the minimization of hazardous waste generation; b) national self-sufficiency in hazardous waste management; and c) the minimization of transboundary movements.

A Legal Landmark for Environment and Justice

The Basel Convention was originally intended as a beacon of preventative policy and legal restraint with respect to hazardous waste and trade. It was born out of a notion that economically motivated waste exports particularly from developed to developing countries was both an affront to human rights and the environment. Above all, it is a legal instrument; it is international law, with a clear aim to promote the minimization of transboundary movement (particularly to developing countries) of hazardous wastes and to minimize hazardous waste generation.

The Basel Ban is hailed as one of the few fulfilled promises of the 1992 United Nations Summit on Environment and Development (UNCED). Its far-reaching significance is summarized below:

• Non-OECD Solidarity: First, it was an initiative launched, sustained, and won by the G-77 group of developing countries (led initially by the African Group). It was this group of countries, with China, that provided the moral backbone. Allies in Western and Eastern European countries soon joined them. The unwavering solidarity of transition and developing countries to bridle the excesses of richer, more powerful countries for the sake of the global environment remains unprecedented to this day.

• Powerful NGO Role: Likewise, a very significant role was played by NGOs, which are usually relegated to the sidelines of international political debate and decision-making.

• Legally Binding Trade Barrier: The Basel Ban was adopted as a legally binding instrument with criminal penalties for violators in a political climate of de-regulation and voluntary agreements. Despite being an unabashed discriminatory trade barrier on behalf of the global environment, the Basel Ban was passed by a consensus of 83 countries in an era noted for the proliferation of global free trade agreements (WTO, NAFTA, FTAA, etc.)

• Defeated Powerful Interests: It was passed despite the total opposition of powerful business lobbies such as the International Chamber of Commerce and many of the world’s most rich and powerful nations (e.g. United States, Canada, and Japan). These opponents not only disliked a precedent restraining free trade, but stood to profit tremendously if the huge economic liability for toxic waste could be cheaply exported and thus externalized.

• Recognized Recycling as a Problem: The great environmental significance of the Basel Ban Decision II/12 was that it closed the recycling loophole through which more than 90% of exported hazardous waste was by then flowing. It recognized that the recycling of many wastes, and in particular hazardous wastes, represents a perpetuation of the waste crisis and a further excuse for unsustainable consumption and wastefulness. And by addressing a high-risk dirty recycling industry migrating from the North, the Basel Ban opened the eyes of the world to the issue of the disproportionate proliferation of toxic technologies in the global South.

• Instrument for Global Environmental Justice: The Basel Ban moves to prevent the globalization of an international environmental crisis -- the toxic waste crisis. In a climate of increasing corporate dominance and minimization of governmental control over trade, the Basel Ban serves as a vital restraint against the unbridled free trade in a global liability. Without the Basel Ban, poorer global communities would be transformed via the “impeccable logic” of the free market into “toxic colonies” of the rich and most wasteful nations.

• Instrument for Clean Production: The Basel Ban, together with the London Convention ban on most forms of industrial and nuclear waste dumping at sea, represent a closure of the last great global escape valves for dirty and wasteful production. By ending the most blatant forms of corporate cost externalization via the export of pollution to poorer economies or the global commons, a huge incentive is created for doing the right thing with respect to hazardous waste -- reducing it at source. The Basel Convention is now poised to become the global leader in facilitating real solutions -- the use of clean production methods, which utilize a minimum of toxic materials and create a minimum of waste.

Entry into Force Now!

The Basel Ban still faces a massive threat from powerful governments and business lobbies determined to sabotage it or prevent it from ever entering into force. The good news is that 68 parties have now ratified the Basel Ban Amendment and even better news is that of the 39 Annex VII countries that the ban applies to, 32 of these have already implemented it in their national law. Glaringly the US, Japan, Canada, Mexico, South Korea, New Zealand, and Australia, stand alone as those who have not. 62 ratifications are seen as being needed for it to enter into the force of international law. The only question remaining is which 62 countries. It is vital that the Parties resolve the recently identified textual ambiguity as to which countries are needed for entry into force in favor of allowing this vitally necessary ban to enter into force immediately.

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